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JC37 U.S. PTO

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PATENT

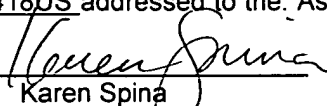
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re patent application of: John Barthelow Classen

For: SYSTEM FOR CREATING AND MANAGING PROPRIETARY PRODUCT DATA

CERTIFICATION UNDER 37 CFR § 1.10

I hereby certify that this correspondence and the documents referred to as attached therein are being deposited with the U.S. Postal Service on November 24, 1999, in an envelope as "EXPRESS MAIL POST OFFICE TO ADDRESSEE" service under 37 CFR § 1.10, Mailing Label Number EJ781638418US addressed to the: Assistant Commissioner for Patents, Washington, DC 20231.

  
Karen Spina

Box PATENT APPLICATION  
Assistant Commissioner for Patents  
Washington, DC 20231

JC688 U.S. PTO  
09/449178  
11/24/99

PATENT APPLICATION TRANSMITTAL LETTER

Transmitted herewith for filing, please find the following:

Type of Application:

- ☒ Utility (non-provisional)
- ☐ Divisional
- ☐ Continuation
- ☐ Continuation-In-Part (CIP)

Incorporation by Reference:

- ☐ The entire disclosure of the prior application, **from which a copy of the Oath or Declaration is supplied**, is considered to be part of the disclosure of the accompanying application and is hereby incorporated by reference therein. (37 CFR § 1.63(d))

Benefit of Prior U.S. Application(s) Claimed (37 CFR § 1.78):

- ☐ PLEASE ENTER THE FOLLOWING AMENDMENT TO THE SPECIFICATION UNDER THE CROSS REFERENCE TO RELATED APPLICATIONS SECTION:
  - ☐ This application claims the benefit of **U.S. Provisional Application(s) No(s)**. 60/\_\_\_\_\_, filed on \_\_\_\_\_.
  - ☐ This application is a ☐ continuation ☐ continuation-in-part ☐ divisional of copending **U.S. Application Serial No(s)**. \_\_\_\_\_, filed on \_\_\_\_\_.
  - ☐ **International Application No.** \_\_\_\_\_ filed on \_\_\_\_\_ and which designated the U.S.

Benefit of Prior Foreign Application(s):

- ☐ Priority is claimed under 35 U.S.C. § 119 of Application No. \_\_\_\_\_ filed on \_\_\_\_\_ in \_\_\_\_\_ [COUNTRY].

☐ A Certified Copy of each of the following application(s) for which priority is claimed:

COUNTRY	APPLICATION NO.	FILING DATE

☐ is enclosed.

☐ has been filed in prior Application Serial No. 0\_ / \_\_\_\_\_, which was filed on \_\_\_\_\_.

**Application Elements Enclosed:**

☒ 25 Pages of Specification

☒ 11 Pages of Claims

☒ 6 Sheets of Drawings

☐ Formal

☒ Informal

☐ Petition to Accept Photographs (37 CFR § 1.84(b)).

☒ 1 Page(s) of Abstract of the Disclosure

**Accompanying Application Parts:**

☐ Associate Power of Attorney

☐ Preliminary Amendment

☐ Information Disclosure Statement

☐ Form PTO 1449 (PTO/SB/08A, 08B)

☐ Copies of Cited References

☐ Nucleotide and/or Amino Acid Sequence Submission (37 CFR §§ 1.821-1.825).

☐ Microfiche (Appendix) (37 CFR § 1.96(c)).

☒ Return Receipt Postcard (itemized)

**Declaration or Oath and Power of Attorney:**

☒ New (executed original or copy).

☐ New (unexecuted).

☐ Copy from prior application (37 CFR § 1.63(d))

**Deletion of Inventor(s) (37 CFR § 1.63(d)(2), 1.33(b)):**

☐ Signed Statement deleting inventor(s) named in the prior application is attached.

**Assignment:**

☐ An executed Assignment of the invention to:

**Small Entity Statements:**

☒ Statement(s) that this is a filing by a small entity under 37 §§ CFR 1.9 and 1.27 attached.

☐ Status as a small entity was claimed in prior U.S. Application Serial No. \_\_\_\_\_ filed on \_\_\_\_\_.

**Status is still proper and desirable.**

☐ A copy of the statement in prior application is enclosed.

The filing fee has been calculated as shown below:

	NO. FILED	NO. EXTRA	SMALL ENTITY			OTHER THAN SMALL ENTITY	
			RATE	FEE	OR	RATE	FEE
BASIC FEE				\$380	OR		\$ 760
TOTAL CLAIMS	75 - 20 =	55	x 9 =	\$495	OR	x18 =	\$
INDEP. CLAIMS	4 - 3 =	1	x39 =	\$ 39	OR	x78 =	\$
FIRST PRESENTATION OF							
MULTIPLE DEPENDENT CLAIM(S)			+130 =	\$	OR	+260 =	\$
		TOTAL		\$914	OR		\$

**Method of Payment of Fees:**

- ☐ No filing fee is to be paid at this time.
- ☐ Please charge my Deposit Account No. 50-0979 the amount of \$ \_\_\_\_\_. This transmittal is attached in duplicate.
- ☒ The filing fee of \$914.00 is enclosed. Please charge any deficiency or credit any overpayment to Deposit Account No. 50-0979.

**Authorization to Charge Additional Fees:**

*NOTE: if no fees are to be paid on filing, the following items should NOT be completed.*

- ☒ The Commissioner is authorized to charge payment of the following fees and to refund any overpayment associated with this communication or during the pendency of this application to Deposit Account No. 50-0979. **This sheet is provided in duplicate.**
- ☐ Filing fees (37 CFR § 1.16(a), (f) or (g)).
- ☒ Presentation of extra claims (37 CFR § 1.16(b), (c) and (d)).
- ☐ Surcharge for filing the basic filing fee and/or declaration later than the filing date of the application (37 CFR § 1.16(e)).
- ☒ 37 CFR §§ 1.17(a)(1)-(5) (Extension fees pursuant to 37 CFR § 1.136(a)).
- ☐ Application processing fees (37 CFR § 1.17).
- ☐ 37 CFR § 1.18 (Issue Fee at or before mailing of Notice of Allowance pursuant to 37 CFR 1.311(b)).

Date: November 24, 1999

  
\_\_\_\_\_  
John F. Letchford  
Registration No. 33,328

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